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Total synthesis and biological evaluation of (+)- and (–)-Butyl ester of rosmarinic acid

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An efficient method for the synthesis of the natural product (+)-(R)-butyl ester of rosmarinic acid (+)-(R)-**1** and its enantiomer (–)-(S)-**1** has been developed by chemical resolution of its phenyl lactic acid precursors **4** with (–)-menthol. Their antioxidative and anti-tumor activities were evaluated.

Keywords: (+)- and (–)-Butyl ester of rosmarinic acid; Chemical resolution

1. Introduction

(+)-(R)-Butyl ester of rosmarinic acid (+)-(R)-**1** (figure 1) isolated from *Isodon oresbius* in 1999 [1] was a derivative of rosmarinic acid which possesses various biological activities such as antioxidant [2], anti-HIV [3] and anti-inflammatory effects [4].

Two synthetic routes of the skeleton of rosmarinic acid have been reported [5,6]. In order to establish the chiral center, the expensive chiral material tyrosine was used in one route [5]; the method of chemoenzymatic resolution was used in another route [6]. In an earlier report, we have described the synthetic route of racemic compound **1** in moderate yield [7]. The following contribution is dedicated to the efficient synthesis of optically active form (+)-(R)-**1** and (–)-(S)-**1** (figure 1) through the chemical resolution of its phenyl lactic acid precursors **4** with (–)-menthol.

2. Results and discussion

(+)-(R)-**1** and (–)-(S)-**1** were synthesized via piperonal **2** as a starting material in seven steps (scheme 1).

Piperonal **2** was reacted with excess of acetic acid in the presence of anhydrous NaOAc in Ac₂O to give azalactone **3**. We adopted ‘one-pot’ procedure in which **3** was first refluxed with 3 mol/L hydrochloric acid, subsequent addition of excess zinc amalgam to

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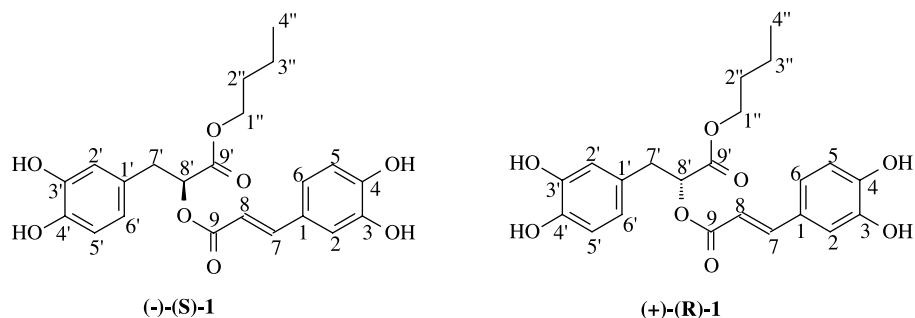
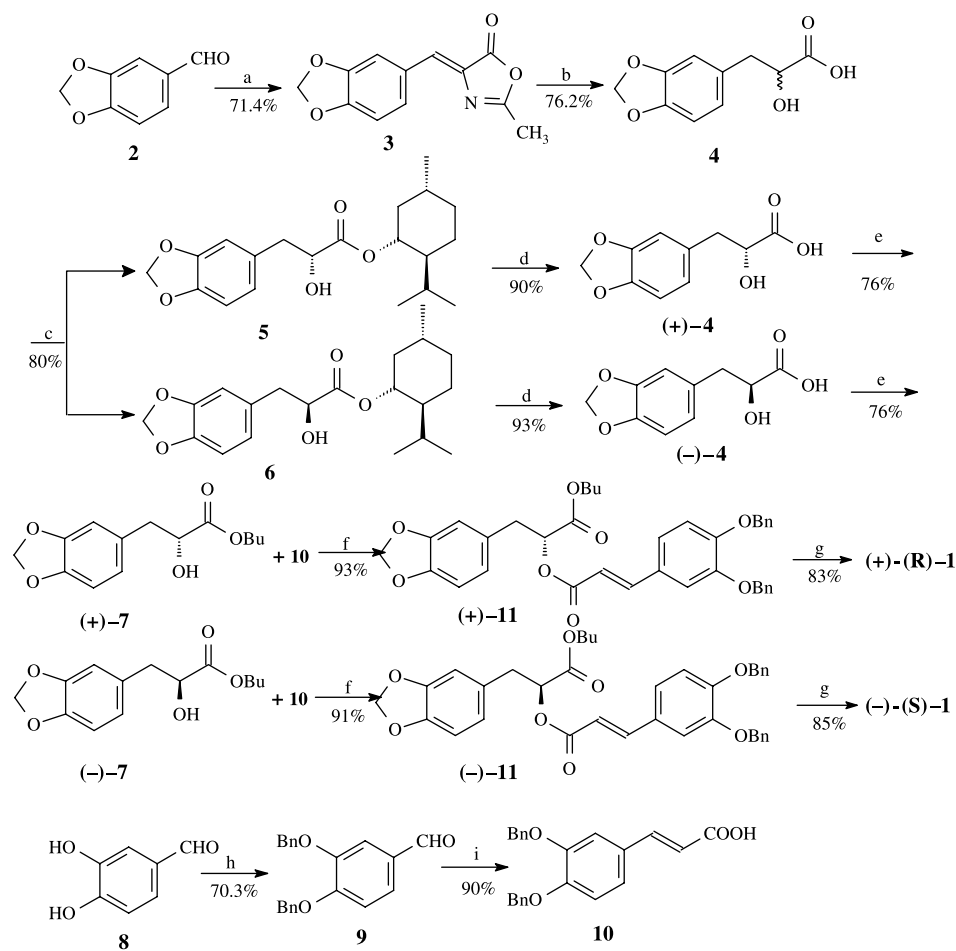


Figure 1. Absolute configuration of compound 1.



Scheme 1. Synthesis of (+)- and (-)-1. Regents and conditions: (a) acetic acid, Ac_2O , NaOAc , 120°C , 3.5 h; (b) HCl , 100°C , 4 h, then Zn/Hg , HCl , 3 h; (c) H_2SO_4 , CH_2Cl_2 , (-)-menthol, 24 h, column chromatography; (d) NaOH , $\text{THF}/\text{CH}_3\text{OH}/\text{H}_2\text{O}$, reflux, 2 h; (e) H_2SO_4 , CH_2Cl_2 , *n*-BuOH, 24 h; (f) DCC , DMAP , CH_2Cl_2 , -20°C , 10 h; (g) BBr_3 , -78°C , 1.5 h; (h) K_2CO_3 , ethanol, PhCH_2Cl , reflux, 5 h; (i) malonic acid, pyridine, piperidine, 110°C , 3 h.

Table 1. Anti-tumor activities of (+)-(R)-1, (-)-(S)-1 and (\pm)-1 against human colon cancer (HT-29), ovary cancer (A2780) and melanin cancer (A2375) cell lines *in vitro*.

Compound	IC_{50} (Mol/L)		
	HT-29	A2375	A2780
(\pm)-1	2.53×10^{-4}	1.38×10^{-3}	2.38×10^{-3}
(+)-(R)-1	3.02×10^{-4}	5.61×10^{-4}	8.35×10^{-3}
(-)-(S)-1	2.21×10^{-3}	>1	>1

give **4**. (+)- and (-)-**4** were obtained by resolution with (-)-menthol through the intermediates **5** and **6**. Absolute configuration of (+)- and (-)-**4** was determined to R and S by comparison of the optical rotations with the known values of R- and S-3- (3,4-dihydroxyphenyl) lactic acid, respectively [5,8]. The key intermediates (+)- and (-)-**7** were obtained by esterification of (+)- and (-)-**4** with n-BuOH, respectively. Esterification of (+)- and (-)-**7** with **10** which was obtained from **8** via intermediate **9** produced (+)- and (-)-**11** in 93% and 91% yield, respectively. The title compounds (+)-(R)-**1** and (-)-(S)-**1** were obtained by treating (+)- and (-)-**11** with BBR_3 in ca 80% yield.

Compounds (+)-(R)-**1**, (-)-(S)-**1** and (\pm)-**1** were evaluated for their anti-tumor and antioxidative activities (tables 1 and 2). (\pm)-**1** and (+)-(R)-**1** showed the similar activities against human colon cancer (HT-29), ovary cancer (A2780), melanin cancer (A2375) cell lines. In particular, (+)-(R)-**1** showed 10-fold, 10^4 -fold and 10^3 -fold better activities than (-)-(S)-**1** against the above-mentioned three cell lines, respectively. The results indicated that the configuration of chiral carbon might be a playing crucial role for the anti-tumor activities. The antioxidative activities of compounds (+)-(R)-**1**, (-)-(S)-**1** and (\pm)-**1** were compared with V_E as reference. All the three compounds exhibited good inhibition on Fe^{2+} induced lipid peroxidation (malondialdehyde formation) in rat liver microsomes *in vitro*. The inhibitory effects are equal to V_E .

3. Experimental

3.1 General experimental procedures

Melting points were determined on a XT₄-100_X micro-melting apparatus and are uncorrected. IR spectra were run on a NICOLET IMPACT-400 spectrometer. Optical

Table 2. Effects of (+)-(R)-1, (-)-(S)-1, (\pm)-1 and V_E on cysteine- Fe^{2+} induced malondialdehyde formation in rat liver microsomes *in vitro*.

Compound	mol/L	Inhibition rate (%)
(\pm)-1	10^{-4}	91.7
	10^{-5}	81.6
	10^{-6}	56.6
(+)-(R)-1	10^{-4}	91.7
	10^{-5}	81.1
	10^{-6}	49.0
(-)-(S)-1	10^{-4}	91.4
	10^{-5}	78.0
	10^{-6}	47.5
V_E	10^{-4}	97.5
	10^{-5}	62.6
	10^{-6}	57.3

rotations were measured on PE-241 digital polarimeter. NMR spectra were recorded on Varian Mercury-300 spectrometer (300 MHz for ^1H and 75 MHz for ^{13}C). Chemical shifts of ^1H and ^{13}C spectra are referenced to the NMR solvents. Mass spectra were obtained on a ZAB-2F spectrometer. TLC was carried out on silica gel (GF₂₅₄). Column chromatography was run on silica gel (200–300 mesh) from Qingdao Ocean Chemical Factory. Dichloromethane was distilled over P₂O₅.

3.2 General procedures for the synthetic compounds

3.2.1 Compounds 5 and 6. To a solution of **4** (1.0 g, 4.8 mmol) and (–)-menthol (0.9 g, 5.8 mmol) in 30 mL CH₂Cl₂, 5 drops concentrated H₂SO₄ were added. The mixture was stirred at room temperature for 24 h. Water (10 mL) was added and the organic phase was washed with water (2 × 10 mL), dried over Mg₂SO₄ and evaporated to give the crude product (1.7 g) which was purified by column chromatography (PE: EtOAc = 20:1). The first fraction was (–)-menthol and discarded, the second fraction was compound **6** (0.7 g) as colorless oil, the third fraction was the mixture of **5** and **6** (0.37 g), the fourth fraction was compound **5** (0.6 g) as colorless needles. Compound **5**: mp 64–65°C, $[\alpha]_{\text{D}}^{25} - 27.7$ (c 0.66, CHCl₃). ^1H NMR (300 MHz, CDCl₃) δ (ppm): 6.75–6.67 (m, 3H), 5.92 (s, 2H), 4.73 (m, 1H, for menthol), 4.33 (dd, 1H, $J = 6.9$ Hz, 4.2 Hz), 3.06 (dd, 1H, $J = 13.8$ Hz, 4.2 Hz), 2.83 (dd, 1H, $J = 13.8$ Hz, 6.9 Hz), 2.60 (brs, 1H), 2.02 (m, 9H, for menthol). EI-MS m/z (%): 348 (M⁺, 15), 330 (10), 192 (45), 135 (100). Compound **6**: $[\alpha]_{\text{D}}^{25} - 59.1$ (c 0.57, CHCl₃). ^1H NMR (300 MHz, CDCl₃) δ (ppm): 6.75–6.66 (m, 3H), 5.92 (s, 2H), 4.75 (m, 1H, for menthol), 4.37 (dd, 1H, $J = 6.0$ Hz, 4.2 Hz), 3.04 (dd, 1H, $J = 13.8$ Hz, 4.2 Hz), 2.86 (dd, 1H, $J = 13.8$ Hz, 6.0 Hz), 2.58 (brs, 1H), 1.94–0.72 (m, 9H, for menthol). EI-MS m/z (%): 348 (M⁺, 15), 330 (10), 192 (45), 135 (100).

3.2.2 Compounds (+)-4 and (–)-4. The mixture of **5** (0.5 g, 1.44 mmol) or **6** (0.5 g, 1.44 mmol) in 20 mL THF/CH₃OH/H₂O (1:1:1) with NaOH (69 mg, 1.73 mmol) was refluxed for 2 h. The mixture was cooled to room temperature, acidified with ice-cold 2 mol/L HCl (5 mL) and extracted with EtOAc (3 × 20 mL), the combined organic phase was washed with water (2 × 15 mL), dried over Mg₂SO₄ and evaporated to give the crude product (+)-**4** or (–)-**4**, respectively. The crude product was recrystallized in petroleum ether and EtOAc to give (+)-**4** (0.27 g) or (–)-**4** (0.28 g) as colorless needle. Compound (+)-**4**: mp 109–110°C, $[\alpha]_{\text{D}}^{25} + 13.3$ (c 0.66, CH₃OH). Compound (–)-**4**: mp 112–113°C, $[\alpha]_{\text{D}}^{25} - 15.6$ (c 0.41, CH₃OH). The spectral data of (+)-**4** and (–)-**4** were the same as racemic compound **4**. Absolute configuration of (+)-**4** was determined to R by comparison the optical rotation with the known value of R-3-(3,4-dihydroxyphenyl)lactic acid ($[\alpha]_{\text{D}}^{25} + 10.8$ in CH₃OH) and that of (–)-**4** was determined to S by comparison with S-3-(3,4-dihydroxyphenyl)-lactic acid ($[\alpha]_{\text{D}}^{25} - 10.8$ in CH₃OH) [5,8].

3.2.3 Compounds (+)-7 and (–)-7. To a solution of (+)-**4** (0.21 g, 1 mmol) or (–)-**4** (0.25 g, 1.2 mmol) and n-butanol (0.15 g, 2 mmol) in 10 mL CH₂Cl₂, 3 drops concentrated H₂SO₄ was added. The mixture was stirred at room temperature for 24 h; water (5 mL) was added. The organic phase was washed with water (2 × 5 mL), dried over Mg₂SO₄ and evaporated to give the crude product (+)-**7** or (–)-**7** which was purified by column chromatography (PE: EtOAc = 7:1). (+)-**7** (0.2 g) and (–)-**7** (0.24 g) were obtained as slightly yellow oil, respectively. (+)-**7**: $[\alpha]_{\text{D}}^{25} + 27.3$ (c 0.74, CHCl₃). (–)-**7**: $[\alpha]_{\text{D}}^{25}$

–29.5 (c 1.38, CHCl₃). ¹HNMR (300 MHz, CDCl₃) δ (ppm): 6.73 (d, 1H, *J* = 7.8 Hz), 6.71 (s, 1H), 6.65 (d, 1H, *J* = 7.8 Hz), 5.92 (s, 2H), 4.38 (dd, 1H, *J* = 6.6 Hz, 4.2 Hz), 4.15 (t, 2H, *J* = 6.6 Hz), 3.03 (dd, 1H, *J* = 14.1 Hz, 4.2 Hz), 2.88 (dd, 1H, *J* = 14.1 Hz, 6.6 Hz), 1.64 (m, 2H), 1.36 (m, 2H), 0.94 (t, 3H, *J* = 6.6 Hz). EI-MS *m/z* (%): 266 (M⁺, 10), 248 (5), 135 (100).

3.2.4 Compounds (+)-11 and (–)-11. To a solution of (–)-7 (0.2 g, 0.75 mmol) in anhydrous CH₂Cl₂ (10 mL) was added 10 (0.54 g, 1.5 mmol) and DMAP (12 mg, 0.1 mmol). DCC (0.31 g, 1.5 mmol) was added at –20°C and the mixture was allowed to room temperature within 10 h. N, N-Dicyclohexylurea was filtered and the filtrate was evaporated to give the crude product which was purified by column chromatography. (–)-11 (0.41 g) were obtained as colorless oil. [α]_D²⁵ –28.1 (c 0.70, CHCl₃). According to the same procedure, (+)-11 (0.31 g) was obtained as the colorless oil from (+)-7. [α]_D²⁵ +28.8 (c 0.98, CHCl₃). ¹HNMR (300 MHz, CDCl₃): δ (ppm): 7.61 (d, 1H, *J* = 15.9 Hz, = CH –), 7.49–7.31 (m, 10H, ArH), 7.14 (d, 1H, *J* = 2.0 Hz, ArH), 7.08 (dd, 1H, *J* = 8.4 Hz, 2.0 Hz, ArH), 6.92 (d, 1H, *J* = 8.4 Hz, ArH), 6.78 (d, 1H, *J* = 1.4 Hz, ArH), 6.74 (d, 1H, *J* = 8.0 Hz, ArH), 6.70 (dd, 1H, *J* = 8.0 Hz, 1.4 Hz, ArH), 6.30 (d, 1H, *J* = 15.9 Hz, = CH –), 5.93 (s, 2H, OCH₂O), 5.30 (t, 1H, *J* = 6.6 Hz, CHO –), 5.20 (s, 2H, –OCH₂Ph), 5.19 (s, 2H, –OCH₂Ph), 4.16 (t, 2H, *J* = 6.6 Hz, –OCH₂ –), 3.12 (t, 2H, *J* = 6.6 Hz, CH₂Ar), 1.65–1.58 (m, 2H, CH₂), 1.41–1.28 (m, 2H, CH₂), 0.92 (t, 3H, *J* = 7.2 Hz, CH₃); IR (KBr, cm^{–1}): 1743, 1716, 1634, 1596; EI-MS: *m/z* 608 (M⁺, 0.2), 91 (100).

3.2.5 Compounds (+)-(R)-1 and (–)-(S)-1. To (–)-11 (0.35 g, 0.58 mmol) in anhydrous CH₂Cl₂ (15 mL) was added slowly BBr₃ (0.16 mL, 1.74 mmol) at –78°C. The mixture was stirred for 1.5 h at –78°C and at once poured into H₂O (25 mL). The aqueous phase was extracted with EtOAc (3 × 10 mL). The combined organic phase was dried over Mg₂SO₄ and concentrated. The crude product was purified by column chromatography (PE: EtOAc = 1:20) to give (–)-(S)-1 (0.22 g) as slight yellow solid. [α]_D²⁵ –28.7 (c 0.52, CH₃OH). ¹HNMR (300 MHz, DMSO-d₆): δ (ppm) 7.48 (d, 1H, *J* = 15.9 Hz, H-7), 7.06 (d, 1H, *J* = 1.8 Hz, H-2), 7.04 (dd, 1H, *J* = 7.8 Hz, 1.8 Hz, H-6), 6.77 (d, 1H, *J* = 7.8 Hz, H-5), 6.65 (d, 1H, *J* = 1.8 Hz, H-2'), 6.63 (d, 1H, *J* = 7.8 Hz, H-5'), 6.49 (dd, 1H, *J* = 7.8 Hz, 1.8 Hz, H-6'), 6.26 (d, 1H, *J* = 15.9 Hz, H-8), 5.08 (t, 1H, *J* = 6.6 Hz, H-8'), 4.03 (t, 2H, *J* = 6.0 Hz, H-1''), 2.95 (d, 2H, *J* = 6.6 Hz, H-7'), 1.52–1.38 (m, 2H, H-2''), 1.36–1.28 (m, 2H, H-3''), 0.84 (t, 3H, *J* = 7.2 Hz, H-4''); ¹³CNMR (75 MHz, DMSO-d₆): δ (ppm) 169.5 (C-9'), 165.9 (C-9), 148.6 (C-4), 146.3 (C-3), 145.5 (C-7), 144.9 (C-3'), 144.1 (C-4'), 125.6 (C-1'), 125.3 (C-1), 121.7 (C-6), 120.1 (C-6'), 116.7 (C-2'), 115.7 (C-5), 115.4 (C-5'), 114.9 (C-2), 112.9 (C-8), 72.9 (C-8'), 64.4 (C-1''), 36.2 (C-7'), 30.0 (C-2''), 18.4 (C-3''), 13.5 (C-4''); IR (KBr, cm^{–1}): 3379, 1716, 1604; FAB-MS: *m/z* 417 (M⁺ + H, 0.1), 163 (100). HRFAB-MS: *m/z* 417.1573 [M + H]⁺ (calcd for C₂₂H₂₅O₈, 417.1549). (+)-(R)-1 was prepared from (+)-11 according to the same procedure: [α]_D²⁵ +27.6 (c 0.34, CH₃OH). FAB-MS: *m/z* 417 (M⁺ + H, 0.1), 163 (100). HRFAB-MS: *m/z* 417.1534 [M + H]⁺ (calcd for C₂₂H₂₅O₈, 417.1549).

3.2.6 Compounds 3, 4 and 10. Azalactone 3 was prepared from piperonal 2 according to Erlenmeyer-Plöchl method [9,10]. 4 was obtained from 3 according to the literature [11]. 10 was easily prepared from 9 (obtained from the corresponding phenolic benzaldehyde 8 by reaction with benzyl chloride in ethanol) by Knoevenagel reaction [12]. All the spectral data of compounds 3, 4 and 10 are compatible with the reported data [10–12].

3.3 Biological evaluation

3.3.1 Anti-tumor activities. Anti-tumor activities of (+)-(R)-1, (-)-(S)-1 and (±)-1 against human colon cancer (HT-29), ovary cancer (A2780), melanin cancer (A2375) cell lines were evaluated using the MTT assay. The results are given as IC₅₀ values and are shown in table 1.

3.3.2 Antioxidative activities. The antioxidative effects of (+)-(R)-1, (-)-(S)-1 and (±)-1 have been investigated with V_E as reference. All the three compounds were found to inhibit Fe²⁺ induced lipid peroxidation (malondialdehyde formation) in rat liver microsomes *in vitro*. The results are shown in table 2.

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